

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Inventor(s): SAWAZAKI et al.

Appln. No.: 09 522,832

Series Code ↑

Serial No. ↑

Filed: March 10, 2000

Hon. Commissioner of Patents
Washington, D.C. 20231

Group Art Unit 2815

Examiner: B. Baumeister

Atty. Dkt. P 0257760 T36-120877M/KOH

M#

Client Ref

Appln. Title: GROUP III NITRIDE COMPOUND
SEMICONDUCTOR LIGHT-EMITTING
DEVICE

Sir:

REPLY/AMENDMENT/LETTER

Date: September 4, 2002

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

FEE REQUIREMENTS FOR CLAIMS AS AMENDED

1. Small Entity claim

- A. ☒ NOT made
B. ☐ Withdrawn
C. ☐ made herewith
D. ☐ made previously

For B & C
See **Required**
Separate Paper
(Pat-256)

Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entity	Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims	5	**minus 20 0	x \$18/\$9 =	+ \$0	103/203
3. Independent Claims	1	***minus 3 0	x \$84/\$42 =	+ \$0	102/202
4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application)	add	+ \$280/\$140 =	+ \$0	104/204	
5. Original due Date:	<input checked="" type="checkbox"/> NONE				
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo) (2 mos) (3 mos) (4 mos) (5 mos)	\$110/\$55 = \$400/\$200 = \$920/\$460 = \$1,440/\$720= \$1,960/\$980=	+ \$0		115/215 116/216 117/217 118/218 128/228
7. Enter any previous extension fee paid since above original due date and subtract		- \$0			
8.		Extension Fee	+ \$0		
9. If Terminal Disclaimer attached, add Rule 20(d) official fee		+ \$110/\$55	+ \$0	148/248	
10. If IDS attached requires Official Fee under Rule 97 (c),	add	+ \$180	+ \$0	126	
or if Rule 97(d) Request	add	+ \$180		126	
11. After-Final Request Fee per rules 129(a) and 17(r)		+ \$740/370	+ \$0	146/246	
12. No. of additional inventions for examination per Rule 129(b).....		x \$740/370 ea	+ \$0	149/249	
13. Request for Continued Examination (RCE)		+ \$740/370	+ \$0	1179/1279	
14. Petition fee for			+ \$0		

15.

TOTAL FEE = \$0

16. *If the entry in this space is less than entry in next space, the "Present Extra" result is "0".

17. **If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.

18. ***If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.

**PLEASE CHARGE
OUR DEP. ACCT**

Our Deposit Account No. 03-3975)

(Our Order No. 051273 0257760

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

Pillsbury Winthrop LLP
Intellectual Property Group

By Atty: John P. Darling/Aaron S. Ward

Reg. No. 44,482/52,342

P.O. Box 10500
McLean, VA 22102
Tel: (703) 905-2000

Sig:



Fax: (703) 905-2500
Tel: (703) 905-2045

Atty/Sec: JPD/ASW

NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments



#19/c
9/14/2
RECEIVED
SEP 15 2002
TECHNOLOGY CENTER 2300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: SAWAZAKI, et al.

Confirmation No. 48215

Application No.: 09/522,832

Group Art Unit: 2815

Filed: March 10, 2000

Examiner: B. BAUMEISTER

Title: GROUP III NITRIDE COMPOUND SEMICONDUCTOR LIGHT-EMITTING
DEVICE

* * * * *

Date: September 4, 2002

SUPPLEMENTAL AMENDMENT

Hon. Commissioner of Patents
Washington, D. C. 20231

Sir:

In further reply to the Office Action dated February 7, 2002 and the personal
interview of July 17, 2002, please further amend the above-identified application as follows:

IN THE CLAIMS:

Please enter the following new claim:

C1 SUB E.7 7. (New) The group III nitride compound semiconductor light-emitting device of
claim 1, wherein said n-type clad layer and said barrier layers are formed of GaN.